

Date of Deposit: 12/2/01

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Approved for use through 10/31/2002. OMB 065

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 111345-122
 First Inventor Jeffrey Anuszczyk
 Title Method and Apparatus for Managing Components In An IT System
 Express Mail Label No. EL540703417US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
 (Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
 See 37 CFR 1.27.
3. ☒ Specification [Total Pages 47]
 (Indifferent arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 15]
5. Oath or Declaration [Total Pages]
 a. ☐ Newly executed (original or copy)
 b. ☐ Copy from a prior application (37 CFR 1.63 (d))
 (for continuation/divisional with Box 18 completed)
 i. ☐ DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
 Box Patent Application
 Washington DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 a. ☐ Computer Readable Form (CRF)
 b. Specification Sequence Listing on:
 i. ☐ CD-ROM or CD-R (2 copies); or
 ii. ☐ paper
 c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
 37 CFR 3.73(b) Statement of Attorney
 (when there is an assignee)
 10. ☐ English Translation Document (if applicable)
 11. ☐ Information Disclosure Statement (IDS)/PTO-1449
 12. ☐ Copies of IDS Citations
 13. ☐ Preliminary Amendment
 14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
 15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
 16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
 17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____
 Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label 23483 (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

Name Joseph F. Haag
 Hale and Dorr LLP
 Address 60 State Street
 City Boston State MA Zip Code 02106
 Country United States Telephone 617-526-6042 Fax 617-526-5000

Name (Print/Type) Joseph F. Haag Registration No. (Attorney/Agent) 42,612
 Signature [Signature] Date Dec. 12, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Express Mail # 950703417US
Date of Deposit December 12, 2001

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PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2002

Potential fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1,048

Complete if Known

Application Number	TBA
Filing Date	December 12, 2001
First Named Inventor	Jeffrey Anuszczyk
Examiner Name	TBA
Group Art Unit	TBA
Attorney Docket No.	111345-122

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 08-0219
- Deposit Account Name Hale and Dorr LLP
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity	Fee Code	Fee Code	Fee Description	Fee Paid
	Code	Code		
101 740 201 370			Utility filing fee	\$370
106 330 206 165			Design filing fee	
107 510 207 255			Plant filing fee	
108 740 208 370			Reissue filing fee	
114 160 214 80			Provisional filing fee	
SUBTOTAL (1) (\$)				370

2. EXTRA CLAIM FEES

	Extra Claims		Fee from below	Fee Paid
Total Claims	44	-20** =	24 X 9	= 216
Independent Claims	14	-3** =	11 X 42	= 462
Multiple Dependent			0	= 0

Large Entity Small Entity	Fee Code	Fee Code	Fee Description
	Code	Code	
103 18 203 9			Claims in excess of 20
102 84 202 42			Independent claims in excess of 3
104 280 204 140			Multiple dependent claim, if not paid
109 84 209 42			** Reissue independent claims over original patent
110 18 210 9			** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 678

*for number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee Code	Fee Description	Fee Paid
		Code	Code		
105 130 205 65				Surcharge - late filing fee or oath	
127 50 227 25				Surcharge - late provisional filing fee or cover sheet	
139 130 139 130				Non-English specification	
147 2,520 147 2,520				For filing a request for ex parte reexamination	
112 920* 112 920*				Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*				Requesting publication of SIR after Examiner action	
115 110 215 55				Extension for reply within first month	
116 400 216 200				Extension for reply within second month	
117 920 217 460				Extension for reply within third month	
118 1,440 218 720				Extension for reply within fourth month	
128 1,960 228 980				Extension for reply within fifth month	
119 320 219 160				Notice of Appeal	
120 320 220 160				Filing a brief in support of an appeal	
121 280 221 140				Request for oral hearing	
138 1,510 138 1,510				Petition to institute a public use proceeding	
140 110 240 55				Petition to revive - unavoidable	
141 1,280 241 640				Petition to revive - unintentional	
142 1,280 242 640				Utility issue fee (or reissue)	
143 460 243 230				Design issue fee	
144 620 244 310				Plant issue fee	
122 130 122 130				Petitions to the Commissioner	
123 50 123 50				Processing fee under 37 CFR 1.17(q)	
126 180 126 180				Submission of Information Disclosure Stmt	
581 40 581 40				Recording each patent assignment per property (times number of properties)	
146 740 246 370				Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740 249 370				For each additional invention to be examined (37 CFR § 1.125(b))	
179 740 279 370				Request for Continued Examination (RCE)	
169 900 169 900				Request for expedited examination of a design application	
Other fee (specify)					
SUBTOTAL (3) (\$)					

*Reduced by Basic Filing Fee Paid

Complete if applicable

SUBMITTED BY

Name (Print/Type)	Joseph F. Haag	Registration No. (Attorney/Agent)	42,612	Telephone	617-526-6042
Signature		Date	December 12, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Docket Number 111345-122

CERTIFICATE OF MAILING (37 C.F.R. § 1.10)

I hereby certify that the following correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on December 12, 2001 and is addressed to: U.S. Patent and Trademark Office, Assistant Commissioner for Patents Box Patent Application, Washington, DC 20231

EL540703417US

"Express Mail Label No."

By: 

Maureen E. DiVito

Documents Enclosed	No. of Pgs.
1. Utility Patent Application Transmittal Form	1
2. Fee Transmittal Form	1
3. Application Data Sheet	4
4. Patent Specification	47
5. Drawings	15
6. Postcard	1
7. Certificate of Express Mailing	1
Total Number of Pages	70



COPY OF PAPERS
ORIGINALLY FILED

10021535.121201

THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 111345.122)

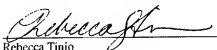
In re Application of: Anuszczyk) Examiner: To Be Assigned
Serial No. 10/021,535) Group Art Unit: 2171
Filing Date: December 11, 2001)

For: METHOD AND APPARATUS FOR MANAGING COMPONENTS IN AN IT SYSTEM

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 CFR §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: **BOX MISSING PARTS, Commissioner for Patents, Washington, DC 20231** on the date indicated below.

Date: 4/18/02


Rebecca Tinio

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

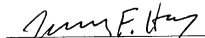
Dear Sir:

In response to the Notice of Incomplete Reply (Nonprovisional), mailed March 28, 2002, Applicant submits the following items for filing in the above-referenced patent application:

1. Copy of the Notice of Incomplete Reply (Nonprovisional), mailed March 28, 2002; and
2. Seventeen (17) Pages of Formal Drawings (Figs. 1-14).

Please charge any fees which might be due in connection with this matter to Deposit Account No. 08-0219. If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,


Joseph F. Haag, Reg. No. 42,612
Attorney/Agent for Applicant

Date: 4-18-02

HALE AND DORR LLP
60 State Street
Boston, MA 02109
(617) 526-6000
(617) 526-5000 (Facsimile)